D## 252		

Atty, Docket No. 03806.0424

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and s 1 inventor (if only ne name is listed below) or an original first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INTERMEDIARY COMPOUNDS FOR THE HEMISYNTHESIS OF TAXANES AND PREPARATION PROCESSES THEREFOR

the specification of which is attached hereto; or

was filed as United States application Serial No.

n and was amended

on (if applicable); or

was filed as PCT international application Number PCT/FR96/01676 on October 25, 1996, and was amended

on \_\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed:

			1	OT NEWED
COUNTRY	APPLICATION NUMBER	DATE OF FILING   (day, month, year)	PRIORITY UNDER 35	U.S.C.119
France	95/12739	27 October 1995	XX Yes	No
FIRME		<u> </u>	Yes	No_
	1		Yes	No
		1	Yes	No_
		1	Yes	No
		1	Yes	No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

APPLICATION NUMBER	DATE OF FILING
OFFICE OF THE OFFICE OFFICE OFFICE OFFICE OFFICE OF THE OFFICE OFFICE OFFICE OFFICE OFFICE OFFICE OFFICE OFFICE OF	
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## DECLARATION AND POWER OF ATTORNEY (Continued) Atty. Docket No. 03806.0424

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT international application(s), designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

APPLICAT	STATUS	(Check one)	
APPLICATION NUMBER	DATE OF FILING	PATENTED	PENDING ABANDONED
	<u></u>	<del>-  -</del>	
		<del>                                     </del>	

I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. FINNEGAN, MENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. Reg. No. 22,540; Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 25,014 Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilley, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26.695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles B. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin I. Puchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Poley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Binaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Thomas W. Banks, Reg. No. 32,719; M. Paul Barker, Reg. No. 32,013; Bryan C. Diner, Reg. No. 32,409; Christopher P. Isaac, Reg. No. 32,616; Andrew Chanho Sonu, Reg. No.33,457; Dirk D. Thomas, Reg. No. 32,600; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,216; Charles B. Van Horn, Reg. No. 40,266; and

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Direct Telephone Calls to:

Brnest F. Chapman (202) 408-4000

DECLARATION	AMD	POWER	7	ATTORNEY	(Continued)	
				Arty	Docket No.	03806 0424

I hereby declare that all statem nts made herein of my own knowledge are true and that all statements made on information and beli f are believed to be true; and further that these statements were made with the knowledge that willful fals statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

INVENTOR	Luc Chanteloup	
CITIZENSHIP	CITY AND STATE OR CITY AND FOREIGN COUNTRY 72190 Sargé-lès-Le Mans, France	COUNTRY OF  CITIZENSHIP  France
POST OFFICE ADDRESS	   23, rue des Sauges,   72190 Sargé-lès-Le Mans, France	
	onteleup	<b>DATE</b>   March 16, 1998
FULL NAME OF SECOND INVENTOR	Bruno CHAUVEAU	
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SECOND INVEN	TOR'S SIGNATURE  BYUNG CHANVEAU	<b>DATE</b>
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THIRD INVENT	TOR'S SIGNATURE  NE (ORBIN (ORBI	March 16, 1998

Listing of Inventors Continued on attached page(s) /XX/Yes /\_/No

DECLARATION AND POWER OF ATTORNEY (Continued)  Atty. Docket No. 03806.0424			
FULL NAME OF FOURTH INVENTOR	Robert DHAL		
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FOURTH INVENT	OR'S SIGNATURE	<b>DATE</b>   March 16, 1998	
FULL NAME OF FIFTH INVENTOR	Sonia LE GUEN		
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  PIFTH INVENT	OR'S SIGNATURE	DATE	
	LE GUEN Jagren	March 16, 199	
FULL NAME OF SIXTH INVENTOR	Arnaud LAMY		
RESIDENCE & CITIZENSHIP	CITY AND STATE OR CITY AND FOREIGN COUNTRY	COUNTRY OF   CITIZENSHIP   France	
POST OFFICE ADDRESS	"Bois Roger", 72650 La Milesse, France	u,	
•	TOR'S SIGNATURE	DATE   March 16, 199	

Listing of Inventors Continued on attached page(s) /XX/Yes /\_/XC

	DECLARATION AND POWER OF ATTORNEY (Contin	ued) No. 03806,0424
FULL NAME OF SEVENTH INVENTOR	Antoine LEZE	
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BEVENTH INVI	entor's signature &	<b>DATE</b>   March 16, 1998
FULL NAME OF EIGHTH INVENTOR	Jean-Pierre ROBIN	
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EIGHTH INVE	NTOR'S SIGNATURE	DATE
FULL NAME OF NINTH INVENTOR		
RESIDENCE &	CITY AND STATE OR CITY AND FOREIGN COUNTRY	COUNTRY OF   CITIZENSHIP
POST OFFICE ADDRESS		
NINTH INVEN	TOR'S SIGNATURE	DATE

Listing of Inventors Continued on attached page(s) / /Yes /XX/No

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  Luc CHANTELOUP et al.	)
Serial No.: 09/065,041	)
Filed: April 27, 1998	)
For: INTERMEDIATES FOR THE HEMISYNTHESIS OF TAXANES AND PREPARATION PROCESSES THEREFOR	))))

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

## DECLARATION IN SUPPORT OF FILING ON BEHALF OF OMITTED INVENTOR UNDER 37 C.F.R. § 1.47(a)

- I, François GRENET, Director of Séripharm S.A., Technopole Université,
   rue Démocrite, 72000 Le Mans, FRANCE, do hereby declare and say that:
- 2. This declaration describes the diligent and *bona fide* efforts made to secure the execution of the Declaration and Power of Attorney and Assignment for the above-identified application by inventor Jean-Pierre Robin, and Mr. Robin's refusal.
  - 3. I have first-hand knowledge of the facts set forth in this declaration.



Serial No. 09/065,041 Attorney Docket No. 03806.0424

- 4. Mr. Robin is one of the named inventors of the above-identified application. The other named inventors are Luc Chanteloup, Bruno Chauveau, Christine Corbin, Robert Dhal, Sonia Le Guen, Arnaud Lamy, and Antoine Leze.
- 5. Mr. Robin's last known address is 28, rue Sainte-Croix, 72000 Le Mans, FRANCE. Mr. Robin is currently employed at Paclipharm, 24, avenue Olivier Messiaen, 72000 Le Mans, FRANCE.
- 6. I received the application papers, including the specification, Declaration and Power of Attorney, and Assignment, from Rhône-Poulenc Rohrer S.A. for signing by the named inventors. The application papers were due to be filed in the United States by April 27, 1998 in order to maintain priority to the PCT application.
- 7. On or about March 16, 1998, I personally presented or mailed the application papers for their signatures to the named inventors besides Mr. Robin. Luc Chanteloup, Bruno Chauveau, Christine Corbin, Robert Dhal, Sonia Le Guen, Arnaud Lamy, and Antoine Leze duly executed the application papers. This group did not include Mr. Robin, who at that time was employed at Paclipharm.
- 8. Shortly thereafter, I called Mr. Robin at Paclipharm to make an appointment with Mr. Robin to execute the application papers.
- 9. Some days after my call to Mr. Robin, we met. During this meeting, I requested that he sign the application papers. Mr. Robin reviewed the application papers and informed me that he had made up his mind not to sign the application

papers. Mr. Robin explained to me that his refusal was because of complex business difficulties between his company, Paclipharm, and Séripharm, which is a wholly-owned subsidiary of Rhône-Poulenc Rorer, S.A., the assignee of the above-identified application. Mr. Robin further stated that he did not believe that his position would affect the ability to proceed with the international filing of the patent application.

- 10. I concluded from the events described above that Mr. Robin's conduct, after our diligent and *bona fide* efforts, constituted a refusal to execute the Assignment and Declaration and Power of Attorney.
- 11. All statements made herein of my own knowledge are true, and all statements made on information and belief are believed to be true; these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

François GRENET

Director Séripharm S.A. 1, rue Démocrite 72000 Le Mans FRANCE Date: 25 Jaich 1999